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### A Culturally Adapted Diabetes Prevention Intervention in the New York City Sikh Asian Indian Community Leads to Improvements in Health Behaviors and Outcomes

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## Abstract

Sikh Asian Indians are an underserved, minority group demonstrating high rates of diabetes. Community health workers (CHWs) are effective in addressing health disparities by reaching socially and linguistically isolated populations. There are no culturally adapted programs for diabetes prevention among Sikh Asian Indians, thus, this study tests the efficacy of a culturally tailored CHW intervention to improve diabetes prevention-related outcomes among Sikh Asian Indians at risk for diabetes. A quasi-experimental two-arm intervention among Sikh Asian Indian adults at risk for diabetes and living in New York City ( $n = 160$ ) was conducted in 2013-2014. The treatment group received six monthly CHW group education sessions and ten follow-up phone calls; the control group received the first session. Main outcome categories included weight, body mass index (BMI), blood pressure (BP), physical activity (PA), diet, and health self-efficacy. Positive and significant changes in weight, BMI, and diabetes prevention-related indicators were seen among both study groups. However, only treatment group participants showed significant changes over time for weight, BMI, PA self-efficacy, and health-related self-efficacy. Significant between-group differences were seen in adjusted analyses for weight, BMI, systolic BP, total weekly PA, PA self-efficacy, PA social interaction, portion control, barriers to healthy eating, and health self-efficacy. At 6 months, treatment participants were more likely to lose  $\geq 5\%$  and  $\geq 7\%$  of their weight compared to control participants ( $p = .071$ , and  $p = .015$ , respectively). Findings demonstrate that a culturally adapted CHW diabetes prevention program in the Sikh community is efficacious, adding to the growing literature on CHWs' capacity to address health inequity among underserved populations.

## Keywords

Diabetes, prevention, lifestyle intervention, diabetes prevention program (DPP), Sikh Asian Americans, Asian Indians

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## **A Culturally Adapted Diabetes Prevention Intervention in the New York City Sikh Asian Indian Community Leads to Improvements in Health Behaviors and Outcomes**

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### **Abstract**

Sikh Asian Indians are an underserved, minority group demonstrating high rates of diabetes. Community health workers (CHWs) are effective in addressing health disparities by reaching socially and linguistically isolated populations. There are no culturally adapted programs for diabetes prevention among Sikh Asian Indians, thus, this study tests the efficacy of a culturally tailored CHW intervention to improve diabetes prevention-related outcomes among Sikh Asian Indians at risk for diabetes. A quasi-experimental two-arm intervention among Sikh Asian Indian adults at risk for diabetes and living in New York City ( $n = 160$ ) was conducted in 2013-2014. The treatment group received six monthly CHW group education sessions and ten follow-up phone calls; the control group received the first session. Main outcome categories included weight, body mass index (BMI), blood pressure (BP), physical activity (PA), diet, and health self-efficacy. Positive and significant changes in weight, BMI, and diabetes prevention-related indicators were seen among both study groups. However, only treatment group participants showed significant changes over time for weight, BMI, PA self-efficacy, and health-related self-efficacy. Significant between-group differences were seen in adjusted analyses for weight, BMI, systolic BP, total weekly PA, PA self-efficacy, PA social interaction, portion control, barriers to healthy eating, and health self-efficacy. At 6 months, treatment participants were more likely to lose  $\geq 5\%$  and  $\geq 7\%$  of their weight compared to control participants ( $p = .071$ , and  $p = .015$ , respectively). Findings demonstrate that a culturally adapted CHW diabetes prevention program in the Sikh community is efficacious, adding to the growing literature on CHWs' capacity to address health inequity among underserved populations.

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South Asians make up one-fifth of the world's total population (Misra, Ramchandran, Jayawardena, Shrivastava, & Snehathatha, 2014) and include a diverse group representing individuals from India, Pakistan, Bangladesh, and other parts of the South Asian subcontinent. Often misconstrued as a homogenous ethnic group, South Asians comprise a variety of subgroups with unique sociocultural characteristics that may differentially influence diabetes prevention behaviors and outcomes. The New York metropolitan area (consisting of cities in New York, New Jersey, and Connecticut) is home to the largest Asian Indian population in the United States, with greater than 700,000 (South Asian Americans Leading Together and the Asian American Federation, 2012; U.S. Census Bureau, 2016).

Sikhism is a monotheistic religion founded during the 16<sup>th</sup> century in the Punjab district of what is now India. The Sikh population in New York City (NYC) is estimated at around 50,000, and many Sikh Asian Indians live in the Richmond Hill and South Ozone Park areas in the borough of Queens (Haller, 2013; Lasky, 2018; Mann, Numrich, & Williams, 2007; The Sikh Coalition, 2008). Sikh Asian Indians in the United States and in NYC face significant social vulnerabilities to adverse health. Approximately 19.7% of Asian Indians in the United States and 24.3% of Asian Indians in NYC speak English less than very well (U.S. Census Bureau, 2016); similar limited English proficiency rates have been shown among NYC Sikh Asian Indians (The Sikh Coalition, 2008), which may affect their ability to access quality care. In NYC, Asian Indian men are concentrated in service sector jobs such as taxi driving and construction work or are small business owners, and similar job categories are shown for Sikh Asian Indians (Cao, Ahmed, & Islam, 2007; The Sikh Coalition, 2008). Taxi driving, in particular, is associated with a sedentary life-style, high stress, and unhealthy diet, leading to poorer health among taxi drivers (Burgel, Gillen, & White, 2012; Gany, Gill, Ahmed, Acharya, & Leng, 2013). Since the terrorist attacks of September 11, 2001, Sikh Asian Indians have often been the target of discrimination and harassment due to misconceptions about turbans, the traditional head covering for Sikhs' uncut hair (The Sikh Coalition, 2008, 2014). Increased discrimination among Sikh Asian Indians, in turn, has been linked to poorer self-reported mental and physical health (Nadimpalli et al., 2016).

South Asians, including Sikh Asian Indians, bear a high burden of type 2 diabetes. Type 2 diabetes can lead to serious complications and is characterized by insulin resistance and a relative lack of insulin. The diabetes prevalence among South Asians in the United States and NYC is higher than that of other Asian subgroups (Barnes, Adams, & Powell-Griner, 2008; Centers for Disease Control and Prevention, 2017; Gupta, Wu, Young, & Perlman, 2011; Islam, Wyatt, Kapadia, et al., 2013; King & Deng, 2018; Rajpathak et al., 2010). The most recent national estimates with Asian subgroups, using 2013-2015 National Health Interview Survey data (Burgel et al., 2012; Gany et al., 2013), report a diabetes prevalence of 11.2% among Asian Indians, compared to 4.3% among Chinese, 8.9% among Filipinos, and 8.0% among overall non-Hispanic Asians. Prevalence rates among Asian Indians are also approaching those of non-Hispanic Blacks (12.7%) and Hispanics (12.1%) (Centers for Disease Control and Prevention, 2017). However, recent estimates of diabetes in NYC show a prevalence of approximately 20% among South Asians and 20.9% among Asian Indians (Islam, Wyatt, Kapadia, et al., 2013; King & Deng, 2018). In comparison, national diabetes prevalence is estimated at 9.3% and NYC diabetes prevalence is estimated between 11.0 and 16.0% (Centers for Disease Control and Prevention, 2017; King & Deng, 2018; New York City Department of Health and Mental Hygiene, 2016; Thorpe et al., 2018). While diabetes prevalence data within the Sikh Asian Indian subgroup is limited, a random sample of Sikh Asian Indians residing in urban India indicated a diabetes prevalence of 23.2% (Singh, Shenoy, & Singh Sandhu, 2016); additionally, a study in the UK found that migrants from the Punjab region had a less favorable coronary risk profile when compared with siblings who had not migrated from India, and a study on South Asians from California (which included a sub-sample collected in Punjabi) found a self-reported diabetes prevalence of 10.2% (Ivey et al., 2004; Patel et al., 1995).

The Diabetes Prevention Program (DPP) is an evidence-based program to prevent type 2 diabetes (Diabetes Prevention Program (DPP) Research Group, 2002) and has been shown to be more effective than metformin (Knowler et al., 2002). The DPP addresses diabetes prevention through dietary changes and weight loss, increased physical activity (PA), and other lifestyle

changes. The program includes a weight loss goal to lose 7% of initial body weight (or between 5-10% of initial body weight) (DPP Research Group, 2002; National Institute of Diabetes and Digestive and Kidney Diseases, 2018). Given the evidence base supporting DPP effectiveness, there are efforts to widely scale the DPP across the United States, including recent efforts to reimburse DPP programs through Medicare, consistent with population health approaches that aim to reach wide populations yielding broad improvements in net outcomes (Kindig & Stoddart, 2003).

There is increasing recognition that population-based approaches alone, however, are not sufficient in reducing health disparities faced by minority groups – and in fact, focusing on a single approach may actually widen the health disparity gap as large segments of communities and subpopulations are not reached and are being left behind by these population-wide efforts. In contrast to population health approaches, health equity approaches aim to achieve the highest attainment of health for all populations (Srinivasan & Williams, 2014). Population health equity approaches, then, encompass both targeted interventions for socially disadvantaged and medically underserved communities and population-wide interventions using a health equity lens to maximize health impact (Trinh-Shevrin, Islam, Nadkarni, Park, & Kwon, 2015; Trinh-Shevrin, Kwon, Park, Nadkarni, & Islam, 2015). In the context of diabetes prevention, this entails culturally adapting the DPP intervention to ensure the program is relevant to the unique contextual milieu of minority populations. DPP studies have been culturally adapted for various racial/ethnic groups throughout the United States (Islam, Zanowiak, et al., 2013; Jaber et al., 2011; Mau et al., 2010; Merriam et al., 2009; Ockene et al., 2012) as well as abroad (Ramachandran & Snehalatha, 2011). In the United States, culturally adapted DPP initiatives have been implemented in a select and limited number of Asian American communities (Islam, Zanowiak, et al., 2013; Islam et al., 2014; U.S. National Library of Medicine, 2018). However, outside of a previous pilot study conducted by the current investigators (Islam et al., 2014), no study has evaluated a DPP adaptation in the South Asian community.

Community health workers (CHWs) are frontline health workers who often serve vulnerable minority populations (Islam et al., 2015). CHWs are respected members of their community who are well-suited to provide linguistically and culturally tailored services (Anthony, Gowler, Hirsch, & Wilkinson, 2009). Indeed, studies have demonstrated that CHWs are effective in improving a wide range of health outcomes among minority populations, including among Asian Americans. Specifically, studies have shown that CHWs can improve diabetes prevention and management among South Asians and other Asian subgroups (Islam et al., 2018; Islam, Zanowiak, et al., 2013; Sinclair et al., 2013). Accordingly, the purpose of this study was to test the efficacy of a culturally tailored CHW intervention designed to improve health behaviors and health outcomes related to diabetes prevention among Sikh Asian Indians identified as at risk for diabetes who are living in NYC.

## Methods

### Study Population

Project RICE (Reaching Immigrants through Community Empowerment), conducted between November 2013 and September 2014, was a quasi-experimental two-arm CHW intervention. The project utilized the principles of community-based participatory research (CBPR) to guide the development, implementation, and evaluation of the study. The New York

University (NYU) School of Medicine worked in partnership with UNITED SIKHS, a community-based organization serving the Sikh Asian Indian population; UNITED SIKHS is the largest Sikh Asian Indian social service agency in the United States. The current study builds upon the previously published pilot data (Islam et al., 2014) by including a second cohort of randomized study participants and excluding the initial round (which included only treatment group individuals). This allowed us to adequately power the study for the planned analyses, including assessing the impact of the intervention on achieving desired weight loss (5-10% of initial weight) based on DPP standards. Inclusion criteria was set as the following: (1) self-identification as Sikh South Asian; (2) between 18 and 75 years of age; and (3) at risk for diabetes as determined by an interviewer-administered diabetes risk assessment tool adapted from the American Diabetes Association (at-risk scores based on family history of diabetes, body mass index [BMI], and other factors). Individuals were ineligible to participate if they had self-reported diabetes diagnosis from a health professional, had serious health problems (e.g., terminal illness or recent history of an acute medical problem), or had previously participated in a cardiovascular disease (CVD) study. Human subjects approval was obtained in 2011, and the trial was registered at ClinicalTrials.gov (NCT03530579).

### **Screening and randomization**

In order to alleviate concerns regarding contamination due to the close-knit nature of the Sikh community and the concentration of Sikh Asian Indians by neighborhood location, randomization occurred at the site (neighborhood) level rather than at the individual level. The two neighborhoods (Richmond Hill and South Ozone Park, located in the Southwestern portion of the borough of Queens) were selected due to their high concentration of South Asian Sikhs (Lobo & Salvo, 2013). The neighborhoods were demographically similar, with similar educational attainment, foreign-born population, Asian ancestry, and limited English proficiency (U.S. Census Bureau, 2012-2016). Two recruitment rounds were completed at two gurdwaras (Sikh religious institutions), one within each neighborhood. Health fairs were held at the two gurdwaras in order to recruit individuals, and CHWs also set up tables during additional times at the gurdwaras in order to meet the recruitment numbers. The randomization assignments switched between the first and second rounds. A total of 250 individuals were screened for eligibility at the two neighborhood gurdwaras, and 174 (69.6%) were eligible and enrolled, consenting to participate in the study and completing the baseline assessment (see Figure 1). In the first round, Richmond Hill was allocated to the treatment group ( $n = 50$ ) and South Ozone Park was allocated to the control group ( $n = 50$ ). In the second round, South Ozone Park was allocated to the treatment group ( $n = 26$ ) and Richmond Hill was allocated to the control group ( $n = 48$ ). Among treatment group participants, three (3.9%) were lost to follow-up and two (2.6%) were excluded from analysis due to extreme outliers, whereas among control group participants four (4.1%) were lost to follow-up and five (5.1%) were excluded from analysis due to extreme outliers in the BMI measure. The majority of individuals completed all sessions and all follow-up phone calls, with the exception of two individuals with missing attendance data.

### **Intervention**

The intervention consisted of six CHW-facilitated group sessions of approximately two hours in length, with the following six topics that were culturally adapted to the South Asian Sikh community: 1) an overview of diabetes prevention, including a concept of prevention tied



# CONSORT

TRANSPARENT REPORTING of TRIALS

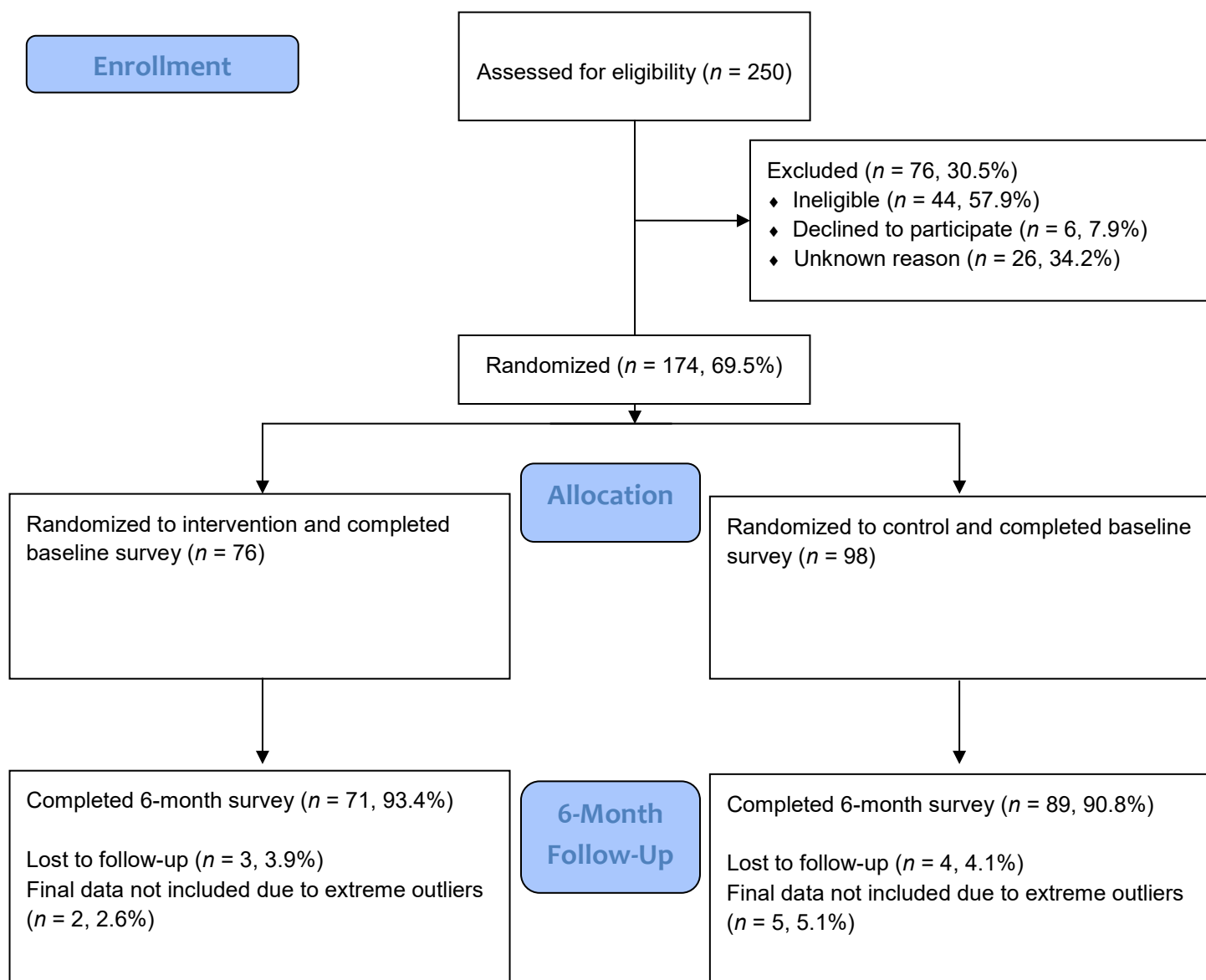


Figure 1. CONSORT Diagram of Study Sample.

to Sikh core values and the increased risk of diabetes among Asians and Asian Indians; 2) nutrition, including healthy elements in traditional Indian cooking, following the plate method with traditional Punjabi foods, and working with women participants to improve nutrition in the



household; 3) physical activity, including encouragement to practice physical activity in a similar manner as in prayer; 4) diabetes complications and other cardiovascular diseases, including discussion of the prevention and inter-connectedness of chronic diseases; 5) stress and family support, including discussions around stigma associated with mental health and Naam Simran, a meditation practice used in Sikhism; and 6) access to healthcare, including communicating with doctors and health access resources and providers in Punjabi. All sessions included the incorporation of culturally appropriate images and language, and the cultural adaptations are further described in our pilot paper (Islam et al., 2014). A total of six sessions were held monthly in a convenient community setting, either at the neighborhood gurdwara or a nearby education center in close proximity to both neighborhoods. The project curriculum was adapted from materials previously validated in minority communities: the National Heart, Lung, and Blood Institute's Healthy Heart, Healthy Family; the DPP; the National Diabetes Education Program's Power to Prevent and Road to Health curricula; and a diabetes management curriculum used in the NYC Bangladeshi population (Centers for Disease Control and Prevention, 2008; DPP Research Group, 2002; Islam, Wyatt, Patel, et al., 2013; Islam et al., 2018). In addition to the cultural tailoring, there were other differences between our intervention and the DPP intervention. Differences include: 1) the number of sessions (DPP's 16 sessions over a six-month period compared to our six sessions over the same time period); 2) the length of each session (DPP sessions were 30 minutes to one hour long compared to our approximately two-hour long sessions); 3) the mode of delivery (DPP's individual sessions compared to our group sessions); 4) the program delivery (DPP used case managers or "Lifestyle Coaches" while we used trained CHWs); 5) follow-up (DPP had biweekly calls and six follow-up sessions while our intervention had weekly phone call follow-ups; and 6) provision of supplemental sessions (DPP's optional group exercise sessions and regular group/individual sessions after the six-month curriculum compared to no supplemental sessions for our study) (DPP Research Group, 2002).

Treatment group participants received a total of ten follow-up phone calls from CHWs, during which individualized challenges, strategies, and goal-setting for improving diet and PA and stress reduction were discussed. Calls were made bimonthly, between sessions 1 and 6. Individuals in the control group attended only the first health education session, which was identical to the first session received by treatment group participants, while treatment group participants received all sessions. The intervention was led by two trained, bilingual, Sikh Asian Indian female CHWs and one bilingual Asian Indian CHW female supervisor at the partnering community-based organization. The CHW supervisor participated in a core competency and curriculum based training, which focused on comprehensive skills for CHWs. The CHWs were trained by the supervisor and study staff on the study protocol, delivery, and curriculum, and all study staff attended approximately 30 hours of additional trainings on motivational interviewing, basic action planning, mental health, and other topics.

## Measures

A baseline survey was completed by treatment and control group participants after consenting to take part in the study, and a follow-up assessment was conducted at 6-month follow-up. All survey questions were developed in English and administered in Punjabi by the CHWs. Consents were obtained in Punjabi or English. Clinical measures were also obtained at these time points by the CHWs.

Height was collected at baseline using a tape measure taped to the wall. Guidance was provided on how to measure for men who wore turbans. Weight was obtained at baseline and 6-

month follow-up using a scale, and BMI was calculated using weight at each time point and height taken at baseline. Blood pressure was collected at baseline and 6-month follow-up by CHWs using an OMRON automatic BP monitor, and by health professionals at the gurdwara (at screenings) using manual BP machines. Participants were in a seated position, and three resting BP measurements approximately two to three minutes apart were collected; the average of the second and third readings were used for analysis. Two-hour fasting glucose and total cholesterol measurements were collected via a finger stick test. CHWs were trained to collect all measures.

A series of questions assessing self-reported moderate and vigorous physical activity were asked of participants. For both moderate and vigorous activities, total days per week and total minutes per day were reported; a weekly measure of both moderate and vigorous physical activity was then calculated using the following equation: days x minutes. Based on 2008 PA guidelines, it is recommended that adults perform at least 150 minutes a week of moderate-intensity PA or at least 75 minutes a week of vigorous-intensity PA (U.S. Department of Health and Human Services, 2008). Thus, recommended weekly PA was calculated as follows: total minutes of weekly moderate PA + (total minutes of weekly vigorous PA x 2). Individuals engaging in at least 150 minutes per week of PA using the new calculated variable met weekly recommended PA (Nothwehr, Dennis, & Wu, 2007).

PA self-efficacy was adapted from the Bandura Self-Efficacy Scale (Bandura, 2006). The mean of two questions was calculated for a score of one to four, with four representing the highest self-efficacy. PA barriers were adapted from the Exercise Benefits and Barriers Scale (Sechrist, Walker, & Pender, 1987). Participants were asked if they agreed or disagreed with each, and the responses were totaled for a scale of zero to seven, where seven represents the greatest barriers to exercise. PA social interaction questions were adapted from a previous intervention (Nothwehr et al., 2007). The mean of four questions was calculated for a scale of one to four, where four represents the highest PA social interaction.

Portion control questions were adapted from measurements of the behavioral objectives of a weight management intervention (Nothwehr et al., 2007). The mean of five questions was taken for a scale of one to four, where four represents the highest portion control. Barriers to eating healthy were adapted from the previous interventions (Islam et al., 2018; Islam, Zanowiak, et al., 2013; Ursua et al., 2018). Participants were asked if they agreed or disagreed with each barrier question; the responses for the eight questions were totaled for a scale of zero to eight, where eight represents the greatest barriers to healthy eating. Nutrition self-efficacy was adapted from the Bandura Self-Efficacy Scale (Bandura, 2006); participants were asked about confidence for eight questions; the responses for each question were totaled for a scale of zero to eight, where eight represents the highest self-efficacy.

Questions on diabetes knowledge were adapted from the Diabetes Knowledge Test and risk assessment questions from the American Diabetes Association (American Diabetes Association, 2018; Fitzgerald et al., 2016; Fitzgerald et al., 1998). Two scales were included, and each scale included seven questions. Correct responses were totaled for a score of zero to seven, where seven represents highest knowledge.

Health self-efficacy was adapted from the Bandura Self-Efficacy Scale (Bandura, 2006); the mean of four questions was calculated for a score of one to four, where four represents the highest self-efficacy.

Exact questions used for scale variables can be found in Table 1. Additional variables for analysis include gender, age, country of birth, years lived in the United States, marital status, employment, education, English spoken frequency, and family history of diabetes.

## Statistical Analyses

Descriptive statistics summarize and compare the baseline characteristics of all participants randomized to treatment and control groups; Pearson Chi-square tests assess group differences for categorical variables and Student's t-tests assess group differences for continuous variables. To test within-group differences between baseline and follow-up, we used paired t-tests and McNemar tests for each outcome measure. To assess change between groups for each continuous outcome, we ran generalized estimating equation (GEE) models for repeated measures over time using the PROC GENMOD procedure in SAS, while adjusting for study arm, time point, and the interaction between study arm and time point (the intervention effect). Adjusted models were also run to include age, gender, education, years lived in the United States and insurance status. The interaction variable tests the intervention effect and indicates whether there are significant differences in changes in the outcome between intervention and control groups. Missing data were excluded from analysis unless greater than 5%. Analyses were performed between May 2016 and August 2018 using SAS version 9.4 (SAS Institute, Cary, NC).

## Results

Sociodemographic characteristics and baseline outcomes and clinical information are presented for the 160 individuals with baseline and follow-up data (Table 2). There were no statistically significant differences in PA, diet, diabetes knowledge or health-related characteristics between the groups. However, treatment group participants were significantly more likely than control group participants to be female (80.3% vs. 60.7%, respectively;  $p = .008$ ), and treatment group participants were also significantly less likely than control group participants to have insurance (50.8% vs 73.3%, respectively;  $p = .005$ ). In addition, treatment group participants had significantly higher mean glucose levels at baseline when compared to control group participants (114.5 mg/dL vs. 96.8 mg/dL,  $p < .001$ ). When comparing the first and second rounds of the intervention, there was a significantly smaller percentage of males in round 1 compared to round 2; it is likely that this was because the CHWs were female, making it more difficult to enroll males into the intervention. During round 2, the CHWs focused on enrolling a greater number of males, while working with male gurdwara leadership.

Table 3 presents changes in physiological measurements and diabetes prevention-related indicators (PA, diet, knowledge, self-efficacy) from baseline to 6-month follow-up by study group. At 6 months, mean BMI decreased by 0.7 kg/m<sup>2</sup> ( $p \leq .001$ ) and mean weight decreased by 3.9 pounds in the treatment group, whereas no change was seen in the control group; the adjusted intervention effect was significant for BMI ( $p = .010$ ) and for weight ( $p = .018$ ). At 6 months, mean systolic BP had decreased by 12.3 mm/Hg ( $p < .001$ ) and mean diastolic BP decreased by 3.3 mm/Hg ( $p = .003$ ) in the treatment group; while mean systolic BP decreased by 9.2 mm/Hg ( $p < .001$ ) and mean diastolic BP decreased by 3.1 mm/Hg ( $p < .010$ ) in the control group. The adjusted intervention effect for systolic BP was significant ( $p < .001$ ); a significant intervention effect was not shown for diastolic BP. At 6 months, mean glucose had decreased by 19.6 mg/dL in the treatment group, whereas no change was seen in the control group; the adjusted intervention effect was significant for glucose ( $p < .001$ ).

Table 1

*Study Scale Questions and Response Choices*

<i>Weekly Physical Activity</i>	
Including what you do at your job, home, gym, or elsewhere, do you do any sustained physical activity for 10 minutes or more?	Yes; No
During the last 7 days, on how many days did you do moderate physical activities?	[Days per week]
How much time did you usually spend doing these moderate types of physical activities on a normal day that you do activity?	[Minutes per day]
During the last 7 days, on how many days did you do activities that required large amounts of physical exertion or effort to make your heart rate and breathing much faster?	[Days per week]
On one of those days, how much time did you usually spend doing these hard types of physical activities?	[Minutes per day]
<i>Physical Activity Self-efficacy</i>	
How sure you do feel that you will be able to know what exercises are healthy for you?	Not at all sure; Not very sure; Somewhat sure; Very sure
How sure do you feel that you will be able to exercise for at least 30 minutes five times each week in the future?	
<i>Physical Activity Barriers</i>	
I don't have time to exercise	Agree; Disagree
I am not motivated to exercise	
I don't have a safe place to exercise	
Health problems prevent me from exercising	
I don't like to exercise	
I need someone to exercise with but don't have one	
I don't know what exercises to perform	
<i>Physical Activity Social Interaction</i>	
How often do you suggest doing something active when you get together with family members or friends?	Never or almost never; Sometimes; Often; Always or almost always
How often do you set aside a special time to do physical activity?	
How often do you ask a friend or relative to do some physical activity with you?	
How often do you talk to others about the benefits of physical activity?	
<i>Portion Control</i>	
How often do you stop eating when full?	Almost never or never; Sometimes; Often; Almost always or always
How often do you refuse offers of food when you are not hungry?	

Table 1 (Continued)

*Study Scale Questions and Response Choices*

How often do you try to limit the number of food servings you ate?	
How often do you try to limit the size of food servings you ate?	
How often do you try to find something else to do instead of snacking?	
<i>Barriers to Healthy Eating</i>	
It is difficult for me to choose a healthy snack	Agree; Disagree
I cannot afford to buy healthy foods	
I do not have time to prepare healthy foods	
There is no store for me to buy healthy foods	
It is difficult for me to eat healthy food on holidays or special occasions	
It is uncomfortable for me to refuse unhealthy foods when they are offered to me at social events or get-togethers	
I do not like how healthier foods tastes	
I do not cook healthy foods because my family does not like them	
<i>Nutrition Self-efficacy</i>	
Are you confident that you can stay on a healthy diet?	Yes; No
Are you confident that you can cook a healthy diet?	
Are you confident that you can decrease the amount of sugar and sweets you eat?	
Are you confident that you can decrease the amount of fat and cholesterol in the foods you eat?	
Are you confident that you can increase the amount of fiber and vegetables you eat?	
Are you confident that you know what foods you should eat on a healthy diet?	
Are you confident that you can stay on a healthy diet when eating outside your home?	
Are you confident that you can stay on a healthy diet when you are busy?	
<i>Diabetes Knowledge Scale 1</i>	
How much does each of the following affect a person's risk for getting diabetes?	Increases or raises the risk; Has no effect; Decreases or lowers the risk
Being South Asian	
Eating a healthy diet	
Having had diabetes during pregnancy	
Having a blood relative with diabetes	
Being 65 years of age or older	
Exercising regularly	
Controlling weight gain	

Table 1 (Continued)

*Study Scale Questions and Response Choices*

<i>Diabetes Knowledge Scale 2</i>	
Can a person get diabetes if he or she has a normal body weight?	Yes; No
Which of the following is highest in carbohydrate?	Baked chicken; Rice; Cheese; Peanut butter
Eating foods lower in fat decreases your risk for...	Nerve disease; Kidney disease; Heart disease; Eye disease
Which of the following is usually not associated with diabetes?	Vision problems; Kidney problems; Nerve problems; Lung problems
Which of the following are sources of empty calories (can check more than one)?	Fruit juice; Margarine; Soft drinks; Sugar
Insulin causes blood sugar to...	Decrease; Increase; Neither
How much exercise or physical activity is recommended for most adults to get each week?	90 minutes each week; 10 minutes each week; 15 minutes for 5 days each week; 150 minutes each week
<i>Health Self-efficacy</i>	
How often do you have to make your own health related decisions?	None of the time; A little of the time; Some of the time; Most of the time; All of the time
Do you feel comfortable asking your doctor about questions or health issues you don't understand?	
Do you feel comfortable going to the doctor alone?	
Do you know where to get medical attention?	

Among the treatment group, 73.5% lost weight over the intervention period, and among the control group, 42.1% lost weight; this group difference was significant ( $p < .001$ ). Figure 2 presents weight loss of  $\geq 5\%$  and  $\geq 7\%$  among both the treatment and control groups while stratifying by gender. Individuals in the treatment group were more likely to lose  $\geq 5\%$  of their initial body weight during the intervention period compared to the control group (23.5% vs. 12.5%); although this difference was not statistically significant ( $p = .071$ ), the magnitude of change between treatment and control groups was large. When stratified by gender, females in the treatment group were significantly more likely than females in the control group to lose  $\geq 5\%$  of their body weight (23.6% vs. 7.5%,  $p = .022$ ); no difference was observed among males. Individuals in the treatment group were also significantly more likely to lose  $\geq 7\%$  of their initial body weight compared to the control group (16.2% vs. 4.5%,  $p = .015$ ); a similar trend was seen when stratifying by gender, but the differences were not significant.

Table 2

*Baseline characteristics of Project RICE participants (N =160)*

	<i>Treatment (n = 71)</i> <i>n (%)</i>	<i>Control (n = 89)</i> <i>n (%)</i>	<i>p-value</i>
<i>Socio-demographics</i>			
Female, n (%)	57 (80.3)	54 (60.7)	<b>0.008</b>
Years of age, mean (95% CI)	45.4 (42.9-47.9)	47.3 (45.2-49.4)	0.242
Country of birth			1.000
India	71 (100.0)	88 (98.9)	
Bangladesh	0 (0.0)	1 (1.1)	
Years lived in the US, mean (95% CI)	13.3 (11.3-15.2)	13.9 (12.2-15.6)	0.634
Marital status			1.000
Married	68 (97.1)	83 (96.5)	
Unmarried	2 (2.9)	3 (3.5)	
Employed			0.493
Employed	19 (27.1)	28 (32.2)	
Unemployed	51 (72.9)	59 (67.8)	
Education			0.641
< High school	11 (15.7)	10 (11.8)	
High school/Some college	48 (68.6)	64 (75.3)	
College graduate	11 (15.7)	11 (12.9)	
Speaks English at home	37 (54.4)	35 (39.3)	0.060
How well do you speak English?			0.777
Very well/Well	38 (55.1)	47 (52.8)	
Not well/Not at all	31 (44.9)	42 (47.2)	
Family history of diabetes	52 (74.3)	57 (64.0)	0.167
<i>Physiological measures</i>			
BMI (kg/m <sup>2</sup> ), mean (95% CI)	28.2 (27.3-29.0)	27.7 (27.1-28.4)	0.420
Weight (lbs.), mean (95% CI)	166.7 (161.1-172.4)	169.2 (164.2-174.1)	0.521
Systolic BP (mmHG), mean (95% CI)	130.6 (127.4-133.7)	126.6 (123.6-129.5)	0.064
Diastolic BP (mmHG), mean (95% CI)	83.6 (81.8-85.5)	83.5 (81.5-85.5)	0.958
Cholesterol (mg/dL), mean (95% CI)	154.1 (142.5-165.6)	155.8 (148.4-163.2)	0.797
Glucose (mg/dL), mean (95% CI)	114.5 (106.3-122.7)	96.8 (92.0-101.6)	<0.001
<i>Physical activity (PA)</i>			
Met weekly recommended PA	7 (10.3)	7 (9.5)	0.868
Weekly PA, minutes, mean (95% CI)	47.7 (16.0-79.5)	23.8 (7.9-39.6)	0.172
Self-efficacy, 1-4, 4 = highest, mean (95% CI)	3.2 (3.0-3.4)	3.2 (3.1-3.4)	0.734
Social Interaction, 1-4, 4 = highest, mean (95% CI)	1.5 (1.4-1.7)	1.5 (1.4-1.7)	0.902
Barriers, 0-7, 0 = highest, mean (95% CI)	3.0 (2.6-3.5)	3.3 (2.9-3.7)	0.409

Table 2 (Continued)

*Baseline characteristics of Project RICE participants (N =160)*

	<i>Treatment (n = 71)</i> <i>n (%)</i>	<i>Control (n = 89)</i> <i>n (%)</i>	<i>p-value</i>
<i>Diet</i>			
Portion control, 1-4, 4 = highest, mean (95% CI)	1.9 (1.8-2.1)	2.2 (1.9-2.4)	0.151
Barriers, 0-8, 8 = greatest barriers, mean (95% CI)	4.8 (4.3-5.4)	5.0 (4.5-5.4)	0.746
Self-efficacy, 0-8, 8 = highest, mean (95% CI)	7.8 (7.6-8.0)	7.6 (7.4-7.9)	0.286
<i>Diabetes knowledge</i>			
Knowledge scale 1, 0-7, 7 = highest	3.8 (3.3-4.3)	3.4 (2.9-3.8)	0.245
Knowledge scale 2, 0-7, 7 = highest	1.7 (1.4-2.0)	1.7 (1.4-1.9)	0.944
<i>Health</i>			
Insured	33 (50.8)	63 (73.3)	<b>0.005</b>
Has a doctor in the US	56 (90.3)	76 (92.7)	0.612
Self-efficacy, 1-5, 5=highest, mean (95% CI)	3.9 (3.8-4.0)	3.8 (3.7-3.9)	0.245

Significant intervention effects were seen for diabetes prevention-related indicators. Compared to control group participants, treatment group participants were more likely to increase total PA ( $p < .001$ ), more likely to have increased PA self-efficacy ( $p < .001$ ), and more likely to have increased social interaction ( $p < .001$ ). Treatment group participants were also more likely to have decreased barriers to exercise but the adjusted intervention effect was not significant ( $p = .054$ ). For diet, treatment group participants showed improved portion control ( $p < .001$ ) and decreased barriers to healthy eating ( $p < .001$ ). At 6 months, nutrition self-efficacy decreased in both groups, however this difference across groups was not statistically significant ( $p = .281$ ). Diabetes knowledge (scales 1 and 2) increased in both groups equally and the intervention effect was not statistically significant ( $p = .363$  and  $p = .148$ , respectively). Lastly at 6 months, health self-efficacy increased in the treatment group and decreased in the control group; the adjusted intervention effect was statistically significant ( $p = .001$ ).

## Discussion

In this culturally adapted CHW-led intervention of Sikh Asian Indians to prevent type 2 diabetes, the decrease in total weight and BMI was significantly greater for the treatment group compared to the control group. Treatment group participants were more likely than control group participants to lose at least 5% and 7% of their weight ( $p = .071$ , and  $p = .015$ , respectively). Positive changes in other diabetes prevention-related indicators such as PA, diet, and health self-efficacy were also seen among treatment group participants.



Table 3

*Changes in Clinical and Behavioral Measurements of Study Participants in the RICE Project from Baseline to Follow-up*

		Intervention group (n = 71)				Control Group (n = 89)				
		Base- line Mean (SD)	6- Month Mean (SD)	Change in Mean (95% CI)	n	Base- line Mean (SD)	6- Month Mean (SD)	Change in Mean (95% CI)	Intervention Effect: Unadjusted	Intervention Effect: Adjusted <sup>a</sup>
Physiological Measures										
Weight (lbs.), mean (95% CI)	70	166.2 (23.8)	162.3 (22.6)	-3.9 (-5.8, -2.2)†	89	169.2 (23.5)	168.8 (21.8)	-0.4 (-1.9, 1.1)	-3.7 (-6.0, -1.4)**	-3.0 (-5.4, -0.5)*
BMI (kg/m²), mean (95% CI)	70	28.1 (3.5)	27.4 (3.3)	-0.7 (-1.0, -0.4)†	89	27.7 (3.1)	27.7 (3.0)	0.0 (-0.3, 0.2)	-0.7 (-1.0, -0.3)†	-0.5 (-1.0, -0.1)*
Systolic BP (mmHG), mean (95% CI)	68	131.0 (13.2)	118.7 (8.9)	-12.3 (-15.4, -9.2)†	88	126.6 (13.7)	117.4 (11.1)	-9.2 (-12.9, -5.5)†	-2.4 (-7.1, 2.2)	-2.5 (-11.4, -4.6)†
Diastolic BP (mmHG), mean (95% CI)	68	83.9 (7.6)	80.6 (7.8)	-3.3 (-5.3, -1.2)**	88	83.5 (9.5)	80.4 (5.0)	-3.1 (-5.2, -1.1)**	-0.4 (-3.2, 2.5)	-1.2 (-4.5, 2.0)
Cholesterol	66	154.1 (47.0)	167.5 (28.8)	13.4 (1.5, 25.3)*	79	155.8 (33.0)	157.2 (32.7)	1.4 (-5.5, 8.3)	12.0 (-1.2, 25.3)	10.4 (-4.2, 24.9)
Glucose	67	114.5 (33.6)	94.9 (16.2)	-19.6 (-28.0, -11.1)†	82	96.8 (21.7)	97.8 (18.6)	1.0 (-2.6, 4.6)	-22.0 (-30.9, -13.2)†	-20.1 (-29.6, -10.7)†
Physical activity (PA)										
Weekly PA, minutes	67	48.4 (132.1)	299.3 (214.3)	250.9 (194.7, 307.1)†	69	25.1 (69.7)	128.7 (132.9)	103.5 (74.4, 132.6)†	135.3 (74.1, 196.4)†	136.0 (70.6, 201.4)†
Self-efficacy (1-4, 4 = highest)	43	3.2 (0.6)	3.9 (0.3)	0.7 (0.5, 0.9)†	77	3.2 (0.7)	3.3 (0.5)	0.1 (-0.2, 0.2)	0.7 (0.5, 0.9)†	0.8 (0.5, 1.0)†
Social interaction (1-4, 4 = highest)	69	1.5 (0.6)	3.2 (0.7)	1.7 (1.4, 2.0)†	84	1.5 (0.6)	2.0 (0.6)	0.5 (0.4, 0.7)†	1.2 (0.9, 1.5)†	1.1 (0.7, 1.4)†
Barriers to exercise (0-7, 0 = fewest)	71	3.0 (1.8)	1.1 (1.1)	-1.9 (-2.3, -1.5)†	85	3.3 (1.8)	2.2 (1.6)	-1.1 (-1.6, -0.6)†	-0.8 (-1.4, 0.2)*	-0.7 (-1.4, 0.0)

Note. Statistical significance bolded (\* $p < 0.05$ , \*\* $p < 0.01$ , † $p < 0.001$ ) <sup>a</sup>Adjusted for age, sex, education, years in US; time-varying: insurance

Table 3 (Continued)

*Changes in Clinical and Behavioral Measurements of Study Participants in the RICE Project from Baseline to Follow-up*

Intervention group (n = 71)					Control Group (n = 89)					
		Base- line Mean (SD)	6- Month Mean (SD)	Change in Mean (95% CI)		Baseli ne Mean (SD)	6- Month Mean (SD)	Change in Mean (95% CI)	Intervention Effect: Unadjusted	Intervention Effect: Adjusted <sup>a</sup>
	n				n					
<b>Diet</b>										
Portion control (1-4, 4 = highest)	64	2.0 (0.8)	3.5 (0.6)	<b>1.5</b> <b>(1.2, 1.8)†</b>	87	2.2 (1.1)	2.6 (0.6)	<b>0.4</b> <b>(0.2, 0.6)†</b>	<b>1.2</b> <b>(0.8, 1.5)***</b>	<b>1.1</b> <b>(0.7, 1.4)***</b>
Barriers (0-8, 0 = fewest)	67	4.8 (2.2)	1.0 (1.3)	<b>-3.8</b> <b>(-4.4, -3.3)†</b>	93	5.0 (2.2)	3.6 (2.8)	<b>-1.4</b> <b>(-2.0, -0.8)†</b>	<b>-2.4</b> <b>(-3.1, -1.6)†</b>	<b>-2.1</b> <b>(-3.0, -1.2)†</b>
Self-efficacy (0-8, 8 = highest)	67	7.8 (0.7)	7.6 (0.8)	-0.2 (-0.4, 0.0)	86	7.7 (1.2)	7.2 (1.1)	<b>-0.4</b> <b>(-0.8, -0.1)*</b>	0.2 (-0.2, 0.6)	0.2 (-0.2, 0.7)
<b>Diabetes knowledge</b>										
Knowledge scale 1 (0-7, 7 = highest)	67	3.8 (2.0)	6.5 (0.7)	<b>2.7</b> <b>(2.3, 3.3)†</b>	85	3.4 (2.1)	5.5 (1.3)	<b>2.1</b> <b>(1.5, 2.6)†</b>	0.5 (-0.2, 1.2)	0.4 (-0.4, 1.2)
Knowledge scale 2 (0-7, 7 = highest)	65	1.6 (1.3)	4.0 (0.8)	<b>2.3</b> <b>(2.1, 2.6)†</b>	86	1.7 (1.3)	3.5 (1.1)	<b>1.8</b> <b>(1.4, 2.2)†</b>	0.4 (0.0, 0.8)	0.4 (-0.1, 0.9)
<b>Health</b>										
Self-efficacy (1-5, 5 = highest)	65	3.9 (0.5)	4.1 (0.5)	<b>0.2</b> <b>(0.1, 0.4)**</b>	87	3.8 (0.6)	3.7 (0.6)	-0.1 (-0.2, 0.1)	<b>0.3</b> <b>(0.1, 0.5)**</b>	<b>0.4</b> <b>(0.2, 0.6)**</b>

Note. Statistical significance bolded (\* $p < 0.05$ , \*\* $p < 0.01$ , † $p < 0.001$ ) <sup>a</sup>Adjusted for age, sex, education, years in US; time-varying: insurance

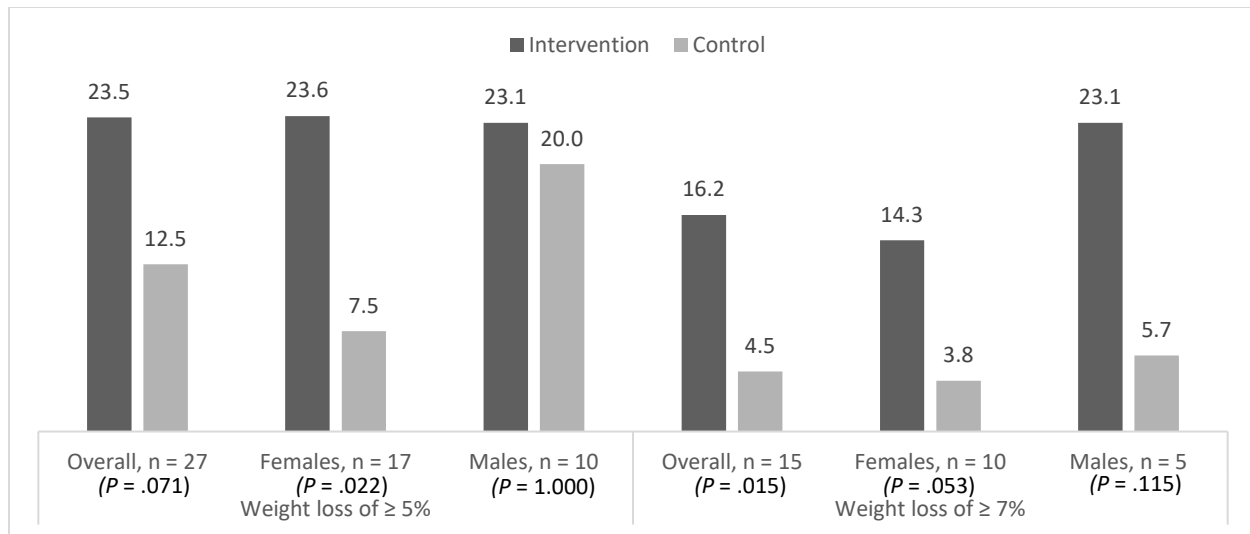


Figure 2. Proportion of study participants who decreased weight by  $\geq 5\%$  and  $\geq 7\%$  at 6-month follow-up, overall and stratified by gender.

There are some limitations to this study that should be noted. There were significant sociodemographic differences between the treatment and control group participants. Specifically, treatment group participants were more likely to be female and less likely to have insurance compared to the control group. Due to the convenience sampling used, our study findings may not be generalizable to the wider Sikh population in NYC, especially given that much of the recruitment took place at health fairs within the gurdwaras. However, the study had very low loss to follow-up and high attendance rates. It must be noted that the NYC Indian Sikh Community, especially those recruited from the implementing community partner, form a geographically and socially tight knit community. For these reasons, similar interventions conducted in other Sikh communities in the United States may not produce comparable results. Further, baseline BMI measurements for both treatment and control group participants were relatively high and far above the American Diabetes Association recommended BMI cutoff for Asians of  $\geq 23 \text{ kg/m}^2$  (Yi, Islam, & Trinh-Shevrin, 2015), which may have motivated participants to attend and complete all sessions. Some of the data should be interpreted with caution; two-hour fasting could not be verified for glucose and total cholesterol, although it was protocol that individuals should have been fasting for two hours. Additionally, much of the data was collected by self-report, including self-reported diabetes diagnosis by a health professional (an ineligibility criteria). Receiving a diabetes diagnosis requires that an individual recalls the test and understands the information provided. Participants were not screened for diabetes after enrollment, and undiagnosed diabetes could be a potential confounder. Lastly, because group allocation occurred at the neighborhood level, there may have been some contamination as shown by increased PA and social interaction among control participants at six months. However, these changes were significantly greater in the treatment group than in the control group.

Despite these limitations, our study demonstrated positive results in diabetes prevention indicators using a culturally adapted DPP curriculum. Results of the current study expand the knowledge base on the efficacy of culturally adapted diabetes prevention programs as well as on the role of CHWs in addressing health disparities and improving health equity. Having two

trusted CHWs who are linguistically and culturally congruent with the participants likely enhanced the acceptability of the intervention as seen in earlier pilot data (Islam et al., 2014). CHWs were also trained in motivational interviewing and other techniques to help empower participants, which may have contributed to the statistically significant increase in self-efficacy among treatment participants and positively influenced main study outcomes. In addition, our past work has demonstrated that CHWs' inter-personal attributes, their ability to serve as a bridge between health and non-health resources, and their ability to extend accessibility beyond healthcare providers are important aspects of CHWs' pathways of action in effectively engaging immigrant communities (Islam et al., 2017). Asian Americans are comprised of diverse subgroups, and among South Asians there are similarly distinct ethnic subgroups. While healthcare systems continue other population-wide approaches, these types may be insufficient in addressing the health needs of diverse communities. Our findings demonstrate that culturally and linguistically concordant CHWs that deliver culturally relevant interventions have the potential to improve health outcomes for specific populations and positively impact health disparities. While the current study did not have a sample size large enough to investigate the specific mechanisms by which CHWs impact health outcomes, this is an important area of future research.

Further, our culturally adapted curriculum condensed DPP material into six sessions coupled with follow-up phone calls, compared to the more intensive DPP 22 session curriculum. This modification, made with feedback from community partners, was implemented due to concerns that the Sikh population would be unable to attend 22 sessions due to family and work commitments. While our adapted intervention saw smaller changes in weight loss compared to the DPP ( $\geq 7\%$  weight loss: 16.2% versus 50%, respectively) (Knowler et al., 2002), these changes were statistically significant. Mean weight loss from our study was also comparable to other CHW intervention studies; a systematic review of CHW interventions that have been adapted from DPP showed that the mean weight loss among intervention participants was 4.3% (Ali, Echouffo-Tcheugui, & Williamson, 2012) compared to 2.3% in our study.

Under the framework of community-based participatory research, the study team partnered with community-based organizations to implement the intervention, adding to the literature on successful community-academic partnerships that build capacity of local communities (Trinh-Shevrin, Islam, et al., 2015; Trinh-Shevrin, Kwon, et al., 2015). Our positive results also underscore the valuable role that community partners can play in making adaptations to programs that ensure their success (as evidenced by our high retention rate) and produce positive and meaningful results in terms of improved health outcomes.

### **Implications for Health Behavior Research and Dissemination**

The promising findings of our study point to several future areas of research that can further advance the field of improving health equity through healthy behaviors. A particular innovation of our study is the incorporation of religious and cultural principles into an adapted diabetes prevention curriculum. Though our study did not have a large enough sample size to assess the moderating influence of discrimination on health outcomes, this may be an important area of future research, given that Sikh Asian Indians are particularly vulnerable to discrimination based on physical manifestations of their faith and such discrimination is linked to adverse health outcomes (Nadimpalli et al., 2016). Given the positive findings that we demonstrated in terms of the impact of the intervention on enhancing self-efficacy, future studies

should also examine how such intermediary mechanisms may lead to improved prevention behaviors. Similar to the DPP, implementation of studies with a longer follow-up period assessing incidence of diabetes or sustained weight loss would also be scientifically valuable (Diabetes Prevention Program Research Group et al., 2009). Additionally, delivery of future interventions among Sikh Asian Indians may be improved through adoption of innovative and multi-level strategies such as healthy food policies for communal meals at places of worship (Kwon et al., 2017) and integration of CHWs into clinical practices to improve chronic disease outcomes (Lopez et al., 2017). While at this time Medicare has only agreed to reimburse unadapted versions of the DPP, including English and Spanish language versions only, New York State has recently agreed to reimburse linguistically translated versions of the DPP program; however, these programs are not culturally adapted and consist only of verbatim language translations of materials, which may be rendered meaningless in some contexts (e.g., certain food items that are not culturally appropriate or consumed by certain racial/ethnic groups may not have direct translations for particular racial/ethnic minority groups). For this reason, it is necessary to continue to build the evidence base for the effectiveness of culturally adapted DPPs and advocate for changes to current Medicare reimbursement policy, aligning with a health equity approach.

Although the value of our study intervention relies precisely on its cultural specificity, we believe that study components such as incorporation of religious elements and family values can be generalizable to other minority communities in which religion holds a prominent place in their daily lives. The study's curriculum as well as the protocol (particularly on engagement of community members and CHW training) have wide potential for dissemination and adaption by researchers seeking to implement CHW-driven CBPR interventions.

## Conclusions

Our study is the first to document the efficacy of a diabetes prevention intervention among Sikh Asian Indians, filling an important gap in the literature on developing culturally adapted interventions for underserved, high-risk, racial/ethnic minority communities. Although there is a strong evidence base for the effectiveness of diabetes prevention programs like DPP, diabetes rates among Asian Indians are rising worldwide and there exists tremendous diversity within this population, underscoring the need for population health equity approaches like the one described here. Continued development, implementation, and evaluation of effective and culturally adapted interventions are needed to lessen the diabetes burden in this population.

## Discussion Questions

- 1) How can evidenced-based strategies for diabetes prevention enhance health equity?
- 2) What is the role of community health workers in advancing health equity?
- 3) What further evidence is needed to enhance the science of health equity?

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